

Nomination Rules

for the Bidding Zone Border Croatia - Serbia

TITLE 1

General provisions

Article 1

Subject matter and scope

1. This document describes the provisions governing Nomination Rules for the Bidding Zone Border between HOPS and EMS (hereinafter: Nomination Rules) in terms of:
 - Entitlement of a PTR holder to nominate cross-zonal electricity exchange;
 - Minimum technical requirement for nomination process;
 - Description of the nomination process;
 - Nomination timings;
 - Format and communication.
2. This document contains the terms and conditions related to the Nomination of PTRs on the bidding zone border Croatia – Serbia.
3. This document shall bind the holders of PTRs and their counterparties where applicable.
4. General grid access for the use of PTRs is not covered by the scope of the Nomination Rules unless otherwise stipulated in the following provisions.

Article 2

Definitions and interpretation

1. Capitalized terms used in this Nomination Rules shall have the meaning given in the applicable allocation rules.
2. In addition, the following definitions shall apply:

‘Capacity Contract Type‘ means the condition under which the capacity was allocated and handled (intraday, daily, monthly or yearly contract);

 - (1) ‘COT‘ means Cut Off Time;
 - (2) ‘GCT‘ means Gate Closure Time;
 - (3) ‘LFC Area‘ means Load-frequency Control Area;
 - (4) ‘matching cycle‘ means predefined periods in which TSOs match LFC Area schedules in intraday process;

- (5) 'Rights Document' mean XML files sent to Auction participants that contain information of Allocated Capacity after Auction.

Article 3
Effective date and application

The Nomination Rules shall enter into force at 31st December 2019 for 1st January 2020.

TITLE 2
Nomination rules

Article 4
Entitlement to nominate cross-zonal electricity exchange

1. EMS and HOPS will carry out the transmission services according to the respective auction/allocation results in compliance with the legal requirements of grid access in each LFC Area and applicable market rules of EMS and HOPS.
2. In order to nominate PTRs to EMS, the PTR holders and/or their counterparties have to conclude a valid and effective balance responsibility contract with EMS.
3. In order to nominate PTRs to HOPS, the PTR holders and/or their counterparties have to conclude a valid and effective Balance Responsibility Contract with HOPS and/or Electricity Market Participation Agreement with Croatian Energy Market Operator - HROTE (HROTE).

Article 5
Minimum technical requirements for nomination process

EMS scheduling system is a web-based application, to which the minimum technical requirements are published on EMS website (www.ems.rs). EMS scheduling system is unavailable from 23:50 till 00:10.

1. HOPS scheduling system communicates via e-mail in standardized format, to which the minimum technical requirements are published on HOPS web (www.hops.hr).

Article 6
Description of the nomination process

1. The nominated cross-zonal electricity exchange shall contain data which unambiguously determine the PTRs (Capacity Agreement Identification and Capacity Contract Type).
2. The cross-zonal electricity exchange by using PTRs must be nominated to both TSOs.

3. The PTR holder has to submit the nomination at least to one TSO. The nominations to the other TSO can be submitted by the PTR holder or by one or more counterparty/ies.
4. The nominations submitted to TSOs for each Capacity Agreement Identification shall not exceed the maximum amount of capacity indicated in the Rights Documents, otherwise the nominations are reduced to the values determined in the Rights Documents at COT according to the Point 5 of this Article.
5. After the GCT for long-term and daily PTRs nomination, TSOs match the nominations and in case of mismatch, a correction cycle provides time to correct the nomination. Matching is done per each Capacity Agreement Identification and couple of counterparties. In case the mismatch persists after COT, the following rules are applied:
 - (1) when value is different, the lower value is decisive,
 - (2) when there is missing counterparty or the directions are not correct, zero values are taken into account.
6. The intraday PTR holder is obliged to use the entire amount of intraday PTRs with one counterparty. When intraday PTRs nominations are submitted to the systems, TSOs perform matching. Matching is done per each Capacity Agreement Identification and couple of counterparties. In case of mismatch persists after COT , the following rules are applied:
 - (1) when value is different, the lower value is decisive,
 - (2) when there is missing counterparty or the directions are not correct, zero values are taken into account.

Such case of mismatch after intraday GCT shall be treated as breach of obligations listed in Section 7 of Intraday Capacity Allocation Rules.

In no event shall EMS and/or HOPS be liable for any loss of profit, loss of business, or any other indirect incidental, special or consequential damages of any kind.

Article 7 **Nomination timings**

1. In case of long-term PTRs the nominations shall be sent to both TSOs for both directions until the long-term nomination declaration GCT for the delivery day in accordance with individual national market rules of each of the TSOs valid at the time of delivery. In case of mismatch at long-term nomination declaration GCT EMS or/and HOPS shall allow modification of the delivered nomination till long-term COT. Modifications of nominations are prohibited after long-term COT.

Long-term nomination declaration GCT: D-1 08:00 (CET)

Long-term nomination acceptance GCT: D-1 08:30 (CET) (long-term COT)

2. After finishing daily capacity allocation, the use of the daily PTRs takes place by delivery of the nominations using daily PTRs received by EMS and HOPS until the daily nomination declaration GCT for the delivery day in accordance with individual national market rules of each of the TSOs valid at

the time of delivery. In case of mismatch at daily nomination declaration GCT EMS or/and HOPS shall allow modification of the delivered nomination till daily COT. Modifications of nominations are prohibited after daily COT.

Daily nomination declaration GCT: D-1 14:30 (CET)

Daily nomination acceptance GCT: D-1 15:30 (CET) (daily COT)

3. The use of the intraday PTRs takes place by delivery of nomination using intraday PTRs received by EMS and HOPS until the intraday nomination acceptance GCT for the delivery hour in accordance with individual national market rules of each of the TSOs valid at the time of delivery. Modifications of nominations are prohibited after intraday GCT for delivery hour except in case of mismatch after intraday nomination declaration GCT. In that case EMS or/and HOPS shall perform modification of the delivered nomination till intraday COT.

Intraday nomination declarations: from D-1 18:00 (CET) to H-45 min

Intraday nomination acceptance GCT: H-45 min

Intraday COT: H-30min

Confirmation of the nomination: not later than H-15min.

4. The intraday matching takes place after each intraday nomination process by taking into consideration gate opening for intraday nomination declarations and intraday COT for delivery hour.
5. Matching is done on a single time-series basis i.e. there are no aggregations of time-series.
6. If intraday matching result is not available or some intraday nominations are not matched till H-30min, all not matched nominations are set to zero by corresponding TSOs and consequently set as faultless.

Article 8

Format of nomination and communication

1. Nomination of cross-zonal electricity exchange using PTRs shall follow the standards and formats in accordance with individual national rules of each of the TSOs valid at the time of delivery.
2. Communication between market participants and TSOs shall follow the standards and formats set by the TSOs available on their websites referred to in Article 5.

TITLE 3

Miscellaneous

Article 9

Amendment of the nomination rules

Any change to the present Nomination Rules will be done by the mutual coordination between HOPS and EMS through amendment in written.

Article 10
Language

The reference language for this Nomination Rules shall be English.